

INFORMATION DISCLOSURE CITATION LIST	Atty. Docket No.: 3524/4	Application Serial No.: 09/412,408
	Applicants: Kevin FOLEY et al.	
	Filing Date: October 5, 1999	Group Art Unit: 3621


U.S. PATENT DOCUMENTS

Exam. Init		Document Number	Date	Name	Class	Subclass

FOREIGN PATENT DOCUMENTS

Exam. Init.		Document Number	Date	Country	Class	Translation

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

	National Association of Securities Dealers, Inc. (NASD) Conduct Rule 2320, "Best Execution and Interpositioning," dated 1 May 1968. Printed from NASDR.com 26 June 2002.
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Examiner: 	Date Considered: 7/15/02
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